

## Two-stage patterning dynamics in conifer cotyledon whorl morphogenesis

Thank you for agreeing to review this paper for *Annals of Botany*.

We are aiming to be among the very top plant science journals, which currently means an Impact Factor greater than 4.5. We receive over 1000 submissions every year and we only have room to publish a limited number of these.

**We therefore need to be very selective in deciding which papers we can publish, so in making your assessment please consider the following points.**

- **We want to publish papers where our reviewers are enthusiastic about the science: is this a paper that you would keep for reference, or pass on to your colleagues?**

If the answer is “no” then please enter a low priority score when you submit your report.

- **We want to publish papers with novel and original content that move the subject forward, not ones that report incremental advances or findings that are already well known in other species.**

Please consider this when you enter a score for originality when you submit your report.

### *Notes on categories of papers*

**Research papers** should demonstrate an important advance in the subject area, and the results should be clearly presented, novel and supported by appropriate experimental approaches. The Introduction should clearly set the context for the work and the Discussion should demonstrate the importance of the results within that context. Concise speculation, models and hypotheses are encouraged, but must be informed by the results and by the authors' expert knowledge of the subject.

**Reviews** should place the subject in context, include the most up-to-date references available and add significantly to previous reviews in the topic. An idea review will move forward research in the topic.

**Research in Context** should combine a review/overview of a subject area with original research that moves the topic forward; i.e. it is a hybrid of review/research papers.

**Viewpoints** should present clear, concise and logical arguments supporting the authors' opinions, and in doing so help stimulate discussions within the topic.

**Special Issue/Highlight** papers should be judged by the same standards as other papers in terms of the strength of the work they contain. They are allowed a more narrow focus within the topic of the issue in which they will appear. Special Issue papers should still make the topic of interest to a wide audience.

1 **Two-stage patterning dynamics in conifer cotyledon whorl morphogenesis**

2  
3  
4 **David M. Holloway<sup>1,2,\*</sup>, Ignacio Rozada<sup>1,3</sup>, and Joshua J.H. Bray<sup>4</sup>**

5  
6  
7  
8 <sup>1</sup>*Mathematics Department, British Columbia Institute of Technology, Burnaby, B.C., Canada*

9 <sup>2</sup>*Biology Department, University of Victoria, Victoria, B.C., Canada*

10 <sup>3</sup>*present address: B.C. Centre for Excellence in HIV/AIDS, St. Paul's Hospital, Vancouver, B.C.,*  
11 *Canada*

12 <sup>4</sup>*Biotechnology Program, British Columbia Institute of Technology, Burnaby, B.C., Canada*

13  
14 \* Corresponding author:

15 [David.Holloway@bcit.ca](mailto:David.Holloway@bcit.ca)

16 tel: 604-456-8199

17 Mathematics Department

18 British Columbia Institute of Technology

19 3700 Willingdon Ave.

20 Burnaby, B.C.

21 Canada V5G 3H2

22  
23 ORIGINAL ARTICLE

## ABSTRACT

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22

**Background and Aims** Conifer embryos, unlike monocots or dicots, have variable numbers of cotyledons, even within the same species. Cotyledons form in a single whorl on a dome shaped embryo. The closely spaced cotyledons are not found outside this ring, indicating a radial control on where they can form. Polar transport of the hormone auxin affects outgrowth of distinct cotyledons, but not the radial aspect of the whorl or the within-whorl spacing between cotyledons. A quantitative model of plant growth regulator patterning is needed to understand the dynamics of this complex morphogenetic process.

**Methods** A two-stage reaction-diffusion model is developed for the spatial patterning of growth regulators on the embryo surface, with a radial pattern (P1) constraining the shorter-wavelength cotyledon pattern (P2) to a whorl. These patterns drive 3D morphogenesis by catalyzing local surface growth.

**Key Results** Growth driven by P2 generates single whorls across the experimentally observed range of 2 to 11 cotyledons, as well as the circularly symmetric response to auxin transport interference. These computations are the first corroboration of earlier theoretical proposals for hierarchical control of whorl formation. The model generates the linear relation between cotyledon number and embryo diameter observed experimentally. This accounts for normal integer cotyledon number selection, as well as the less common cotyledon fusings and splittings observed experimentally. Flattening of the embryo during development may affect the upward outgrowth angle of the cotyledons.

**Conclusions** Cotyledon morphogenesis is more complex geometrically in conifers than in angiosperms, involving two-dimensional patterning which deforms a surface in 3D. This work

1 develops a quantitative framework for understanding the growth and patterning dynamics  
2 involved in conifer cotyledon development, and applies more generally to the morphogenesis of  
3 whorls with many primordia.

4

5 **Key words:** conifer; Pinaceae; embryogenesis; whorl; cotyledon; pattern formation;  
6 morphogenesis; finite element model; plant growth regulator; reaction-diffusion; auxin; NPA

## INTRODUCTION

Angiosperm monocots and dicots are tightly constrained to form one or two cotyledons, respectively. By contrast, Pinaceae conifers can have highly variable numbers of cotyledons ( $n_c$ ), both between and within species (von Aderkas, 2002). Average  $n_c$  for species are reported as low as 3 (in *Tsuga*) and as high as 9 (in *Cedrus*) (Butts and Buchholz, 1940). Within species,  $n_c$  typically ranges  $\pm 1$  from these averages for zygotic embryos (ibid). For somatic cultures (of clonal lines), embryos with  $n_c$  from 3 to 10 are common in *Larix*, *Pseudotsuga* and *Picea*, which have species averages of 5 to 6 (Harrison and von Aderkas, 2004; Holloway et al., 2016)

The positioning of three or more cotyledons on an embryo is geometrically more complex than is the case for monocots or dicots, in which the most complex arrangement defines a one-dimensional line between two cotyledons. By contrast, three or more cotyledons can be arranged two-dimensionally (on the embryo surface), and patterned either regularly or irregularly. With this additional complexity, and without the rigid constraint on cotyledon number seen in angiosperms, conifer cotyledon formation can provide unique insights into the developmental mechanisms for the spatial positioning of organs.

Among the possibilities for two-dimensional patterning, conifer cotyledons notably do not form all over the surface, but arise simultaneously in a single whorl (Figure 1C). For the circular geometries involved – embryos go from a domed (Fig. 1A) to a flattened shape (Fig. 1B) during cotyledon development – formation of the whorl indicates pattern control along the radial dimension (Fig. 1E, ‘ $r$ ’: distance on the surface from the embryo tip or centre, or ‘latitude’ on a hemisphere). Within this whorl, regular cotyledon-to-cotyledon spacing (Fig. 1C, ‘ $\lambda$ ’) indicates pattern control in the circumferential dimension (Fig. 1E, ‘ $\phi$ ’: ‘longitude’ on the hemisphere).

1 The linear variation of  $n_c$  with diameter expected for regular spacing in a ring (Fig. 1E, black  
2 spots) has been found experimentally in *Larix* (Harrison and von Aderkas, 2004), *Pseudotsuga*  
3 and *Picea* (Holloway et al., 2016), indicating that  $n_c$  variability reflects embryo-to-embryo  
4 diameter variability. Without radial constraint, the short wavelength cotyledon spacing ( $\lambda$ ) would  
5 position cotyledons all over the embryo, which is not observed: arrangement of primordia in a  
6 single whorl involves the combination of a longer spatial scale radial control with a shorter scale  
7 circumferential control.

8 In conifers, the radial and circumferential aspects of cotyledon outgrowth are  
9 experimentally separable: disrupting transport of the hormone auxin with NPA (1-N-  
10 naphthylphthalamic acid) results in loss of circumferential patterning (Larsson et al., 2008;  
11 Hakman et al., 2009), producing circularly-symmetric cup-shaped embryos which lack distinct,  
12 separated cotyledons (Fig. 1D).

13 In this paper, we develop a quantitative model for conifer cotyledon positioning, in order  
14 to create a framework for understanding the dynamics involved in normal development as well  
15 as in response to NPA treatment. The model is two-stage, with mechanisms for radial positioning  
16 (pattern 1, P1; red, Fig. 1E) and for the circumferential between-cotyledon positioning (pattern 2,  
17 P2;  $\lambda$ , Fig. 1E). The mechanisms are linked hierarchically: P1 control of where the short  
18 wavelength P2 pattern occurs provides the radial constraint necessary for cotyledon whorl  
19 formation. NPA affects P2-patterned cotyledon outgrowth, not the circularly-symmetric P1  
20 component.

21 To couple the spatial patterning to embryo shape change, the surface is grown in  
22 proportion to the local P1/P2 concentrations; i.e., the P1/P2 mechanism models the dynamic  
23 distribution of plant growth regulators corresponding to whorl morphogenesis. Since size and

1 geometry changes of the surface in turn affect spatial patterning, the model is ‘morphodynamic’  
2 (Salazar-Ciudad et al., 2003), encompassing the full feedback cycle between growth regulator  
3 patterning and surface deformations. The P1 and P2 patterns are stable to this induced growth, as  
4 well as being stable over experimental ranges of embryo diameters and to the geometric  
5 flattening occurring during cotyledon formation.

6 Turing (1952) proposed the first mathematical model for chemical pattern formation,  
7 which he and subsequent researchers applied to spatial patterning in plant development. His  
8 reaction-diffusion (RD) theory shows how reactions between two or more chemicals  
9 (morphogens) having unequal diffusivities can self-organize stable concentration waves with a  
10 characteristic spacing or wavelength. RD patterns have been confirmed in the development of  
11 both animals (e.g. Sick et al., 2006; Sheth et al., 2012; Raspopovic et al., 2014) and plants (e.g.  
12 Digiuni et al., 2008). RD has been applied to many cases of plant development, both for  
13 ‘morphostatic’ (Salazar-Ciudad et al., 2003) patterning on growing domains (e.g. Harrison et al.,  
14 1981; Meinhardt, 1982; Jönsson et al., 2005; Digiuni et al., 2008; Fujita et al., 2011) and for  
15 ‘morphodynamic’ patterning of growth regulators driving morphogenesis (Harrison and Kolář,  
16 1988; Holloway and Harrison, 1999, 2008). In RD, chemical transport is via simple diffusion  
17 down a concentration gradient.

18 More recently, it has been discovered that the growth regulator auxin has unique  
19 intercellular transport properties (see review in Friml, 2003). In addition to simple diffusion,  
20 auxin has its own cellular efflux transporter, PIN1. Localization of PIN1 to subregions of the cell  
21 membrane produces a polar auxin transport (PAT). PAT, in conjunction with simple diffusion,  
22 can self-organize auxin concentration patterns (shown analytically, for example, by Jönsson et  
23 al., 2006; Draelants et al., 2013; Farcot and Yuan, 2013). PAT can include a with-the-flux

1 localization of PIN1 (a down-the-gradient facilitated diffusion), which has been applied to  
2 canalization and venation (e.g. Mitchison, 1981; Rolland-Lagan and Prusinkiewicz, 2005;  
3 Feugier et al., 2005), or an up-the-gradient localization of PIN1, which has been applied to the  
4 sequential placement of organs in phyllotaxis (e.g. Jönsson, et al., 2006; de Reuille et al., 2006;  
5 Smith et al., 2006). Models of complex auxin patterning, such as for phyllotaxis plus venation,  
6 can include terms for intracellular reactions, simple diffusion, up-the-gradient PAT, and down-  
7 the-gradient PAT (e.g. Bayer et al., 2009). Such mechanisms can have very complex dynamics,  
8 since even subsets of the terms -- such as reaction and diffusion (Turing, 1952), or diffusion and  
9 up-the-gradient PAT (e.g. Jönsson, et al., 2006) -- are capable of self-organization.

10 Previous models of whorled phyllotaxis have focused on the successive formation of  
11 whorls, and have defined organ initiation at a fixed radius  $R_0$  from the centre of an apical or  
12 floral meristem (Douady and Couder, 1996; Kitazawa and Fujimoto, 2015). In these models, the  
13 occurrence of whorls (as compared to spirals) depends on  $R_0$  and on the timing and size of  
14 sequential primordia initiation. Initiation sites are affected by the positions of older primordia. In  
15 conifer embryos, however, a single whorl of cotyledons forms simultaneously, and the single  
16 whorl is robust to large changes in radius: experimental measurements show whorl radii increase  
17 by about 150  $\mu\text{m}$  over the range of observed  $n_c$  (Holloway et al., 2016; whorl radius is approx.  
18 125  $\mu\text{m}$  less than embryo radius). This indicates an adaptive self-organizing P1 mechanism (in  
19 the  $r$  coordinate) to position the single cotyledon whorl 150  $\mu\text{m}$  closer to the centre in small  
20 embryos with low  $n_c$  than in large embryos with high  $n_c$ , and argues against a fixed radius  $R_0$  (for  
21 instance set by diffusion of a morphogen from the embryo centre), which would not adapt to  
22 embryo size variation as observed.



1           The induction of cup-shaped embryos by NPA treatment indicates a PAT dependence for  
2 the circumferential P2 cotyledon pattern (along the  $\phi$  coordinate), but not for the circularly  
3 symmetric P1 ring pattern. Turing dynamics in intracellular reactions and simple diffusion can  
4 self-organize pattern in the absence of PAT dynamics. RD is therefore used as a framework for  
5 quantitatively characterizing the PAT-independent P1 pattern. This is similar to the recent  
6 application of RD dynamics to the radial control of shoot apical meristem size (Fujita et al.,  
7 2011). Quantifying radial patterning in this way allows us to characterize the dynamics involved  
8 in forming a single whorl robust to the wide range of embryo sizes found in conifers.

9           For the circumferential P2 pattern, the NPA effect appears to be primarily on the  
10 outgrowth of cotyledons: while most embryos do not grow cotyledons at moderate NPA  
11 concentrations, those that do tend to show normal circumferential spacing (Holloway et al.,  
12 2016). This indicates that the *outgrowth* of distinct cotyledons from the ring is PAT dependent  
13 (perhaps via supply of a critical factor), but that the *spacing*  $\lambda$  between cotyledons is PAT  
14 independent. In wave terminology, PAT appears to affect P2 amplitude, not its wavelength. We  
15 therefore use a PAT-independent RD mechanism to model self-organization of the P2 cotyledon-  
16 cotyledon wavelength  $\lambda$ . The NPA effect on P2 amplitude is modelled as a PAT-dependent  
17 factor that affects whether the RD mechanism can actively form pattern.

18           While RD can be used to study de novo pattern formation from an unpatterned state, the  
19 more common occurrence in development is for patterns to form on prior patterns, as with the  
20 P1/P2 stages studied here. Harrison et al. (1981) first proposed such hierarchical patterning for  
21 whorl formation in the alga *Acetabularia*, with subsequent experiments indicating that RD was  
22 involved in circumferential spacing in the whorl (Harrison et al., 1988, 1997). Turing identified  
23 RD parameter conditions for spatial patterns to grow (amplify) from initially uniform

1 concentration states (PAT models can be analyzed similarly). We refer to this self-organizing  
2 generation of new pattern as **active** patterning. RD can also generate active pattern from a pre-  
3 patterned state (termed ‘Turing models of the 2<sup>nd</sup> kind’, Hunding, 1987); in these cases the pre-  
4 pattern limits where the RD pattern forms (by controlling where the Turing conditions are met  
5 for active patterning, though linear Turing analysis is an approximation with pre-patterns). For  
6 the conifer model, P1 controls where P2 can actively generate the circumferential cotyledon  
7 pattern. However, when conditions do not support P2 active patterning (e.g. if a critical factor is  
8 affected by NPA reduction of PAT), P2 relaxes to a **passive** pattern: this is not a uniform  
9 concentration, but rather reflects the radial P1 ring pattern.

10         The P1/P2 model for patterning and morphogenesis allows us to quantify the dynamic  
11 constraints involved in forming conifer cotyledon whorls. For patterning, these include  
12 constraints on reaction and transport kinetics, the response to NPA interference with PAT, and  
13 how the P1 and P2 stages are coupled. For morphogenesis, these include constraints on P1/P2  
14 catalyzed surface growth, the effect of that growth on patterning, and the effect of 3D embryo  
15 geometry on morphogenesis. The model provides a framework for interpreting current data and  
16 guiding new experiments to understand conifer cotyledon development and the establishment of  
17 whorled structures in general.

18

19

## MODEL AND METHODS

20 As discussed above, the P1 and P2 spatial patterns are each generated by an RD mechanism. The  
21 annular P1 pattern specifies the radial position at which cotyledons form. P1 affects a rate

1 constant in the P2 mechanism, constraining the P2 circumferential patterning to this ring. P2 is  
2 morphogenetic, altering 3D shape by locally catalyzing surface growth.

3 The Brusselator RD mechanism (Prigogine and Lefever, 1968) is used for the P1 pattern:

4 
$$\frac{\partial X_1}{\partial t} = a_1 A_1 - b_1 B_1 X_1 + c_1 X_1^2 Y_1 - d_1 X_1 + D_{X_1} \nabla^2 X_1 \quad (1a)$$

5 
$$\frac{\partial Y_1}{\partial t} = b_1 B_1 X_1 - c_1 X_1^2 Y_1 + D_{Y_1} \nabla^2 Y_1 \quad (1b)$$

6 where  $X_1$  and  $Y_1$  are the concentrations of the spatially-patterned Turing morphogens (subscript 1  
7 for P1).  $A$  and  $B$  are precursor concentrations;  $a$ ,  $b$ ,  $c$ ,  $d$  are reaction rate constants; and the final  
8 terms are for diffusion of  $X_1$  and  $Y_1$  with diffusivities  $D_{X_1}$  and  $D_{Y_1}$ , respectively.  $X_1$  self-amplifies  
9 ( $cX^2Y$  term), but this increase is limited by using up  $Y_1$ . This depletion-type kinetics tends to form  
10 regular patterns in  $X_1$  and  $Y_1$  very close to predictions from linear analysis (e.g. Lacalli, 1981;  
11 Harrison, 1993; Holloway and Harrison, 1995) and has been used extensively for regular  
12 branching processes in morphogenesis (Harrison and Kolář, 1988; Holloway and Harrison, 1999,  
13 2008; Nagata et al. 2003, 2013; Jönsson et al., 2005; Rozada et al., 2013). Due to this regularity,  
14 the Brusselator was used to model formation of the P1 annulus (Figure 2D,G).

15 The depletion kinetics of the Brusselator, however, make it unsuitable for a P2 pattern which  
16 is controlled by P1. Without loss of generality,  $a$ ,  $b$ ,  $c$ ,  $d$  can be set to unity, and the  $A$  and  $B$   
17 concentrations become the only reaction parameters in the model (Nicolis and Prigogine, 1977).  
18 A feedforward from P1 to a P2 Brusselator could be made by identifying one of the P1  
19 morphogens ( $X_1$  or  $Y_1$ ) with the  $A_2$  or  $B_2$  precursors for P2. This P1-patterned feedforward must  
20 be into  $A_2$  to produce a passive P2 ring pattern when simulating NPA treatment, since the passive  
21 steady-state of  $X_2$  is proportional to  $A_2$  and does not depend on  $B_2$ . However, active patterning

1 happens at **low**  $A$  in the Brusselator (Herschkowitz-Kaufman, 1975), which contradicts forming  
 2 P2 concentration peaks in the high  $A_2$  annulus specified by P1. I.e., such a mechanism cannot  
 3 form active normal cotyledon pattern in the same radial position as the passive NPA-treated ring,  
 4 as is observed experimentally.

5 We therefore used an activator-inhibitor kinetic mechanism in which both active and passive  
 6 P2 pattern are in-phase with the P1 annulus (Fig. 2E,H). This Gierer-Meinhardt (GM)  
 7 mechanism (1972) is given by:

$$8 \quad \frac{\partial X_2}{\partial t} = a_2 A_2 + \frac{c_2 A_2 X_2^2}{Y_2} - d_2 X_2 + D_{X_2} \nabla^2 X_2 \quad (2a)$$

$$9 \quad \frac{\partial Y_2}{\partial t} = b_2 B_2 X_2^2 - e_2 Y_2 + D_{Y_2} \nabla^2 Y_2 \quad (2b)$$

10 for the morphogens  $X_2$  and  $Y_2$ , with subscript 2 for P2.

11 P1 to P2 feedforward is implemented by setting

$$12 \quad c_2 = nX_1 \quad (3)$$

13 since gradients in  $c_2$  strongly affect GM pattern localization (Holloway and Harrison, 1995).

14 Eqns (1) – (3) establish the necessary chemical prepatterns for morphogenesis of a single  
 15 simultaneously-initiated whorl of primordia (Fig. 2D-F).

16 Eqns (1) – (3) were solved by an implementation of the finite element method (FEM) in  
 17 Python (version 2.7.3), using the Fenics 1.5 (<https://fenicsproject.org/>; Logg and Wells, 2010;  
 18 Logg et al., 2012; Alnaes et al., 2015), numpy (<http://www.numpy.org/>) and scipy  
 19 (<https://www.scipy.org/>) libraries. Mayavi2 graphics were used for visualization  
 20 (<http://mayavi.sourceforge.net/>). The initial triangulated mesh specifying the surface was

1 generated by gmsh 2.5.1 (<http://gmsh.info/>). Initial shapes were hemispherical caps, specified by  
2 flatness parameter  $\gamma$  between 1 (hemisphere) and 0 (flat disk), see Fig. 1E. Initial surfaces were  
3 defined on between 793 and 801 vertices (exact value depended on radius specified). All  
4 surfaces had 65 boundary (equatorial) vertices, but surfaces with  $\gamma < 1$  had fewer total vertices  
5 than  $\gamma=1$  at any given radius, in proportion to the decrease in pole height. Boundary conditions  
6 were fixed-value (Dirichlet), with  $X$  and  $Y$  concentrations (eqns (1) and (2)) specified as their  
7 passive steady-state values ( $X_0, Y_0$ ) on the boundary (equatorial positions). (No-flux boundary  
8 conditions would create maxima at the pole or equator, which would not correspond to the  
9 observed whorls.)

10 To couple this prepattern to morphogenesis, local growth of the surface was implemented as  
11 in Harrison et al. (2001) and Holloway and Harrison (2008): in each iteration, finite elements  
12 intersecting at a mesh vertex were increased in area proportional to the local  $X_2$  concentration;  
13 mesh vertices were then moved along the local normal vector (averaged from the normals of the  
14 neighbouring finite elements) to accommodate this area change (e.g. Fig. 2C,F,I). Vertex  
15 positions were updated simultaneously for the whole surface. Boundary (equatorial) vertices had  
16 fixed positions.

17 The spatial solutions of eqns (1) (or any pattern forming mechanism) on a hemispherical  
18 geometry are composed of the surface spherical harmonics,  $Y(m,l)$ , which are polynomials with  
19  $m$  repeated structures in the  $\varphi$  dimension (longitude) and  $l$  latitudes at which the solution passes  
20 through the passive steady-state value. Occurrence of the P1 ring depends on the fit between the  
21 domain (embryo) size and the spacing of the chemical patterning mechanism (wavelength). The  
22 parameters in Table 1 (reaction rate constants from Holloway and Harrison, 2008) generate a P1  
23  $Y(0,3)$  ring pattern (e.g. Fig. 2D,G) which is stable over more than a doubling of domain radius,

1 or, equivalently, to a more than halving of pattern spacing. This represents robustness to at least  
2 a factor of two change in reaction or diffusion constants (since these have a less than linear effect  
3 on RD spacing; Harrison, 2011, Ch. 5).

4 Gierer-Meinhardt (eqns (2)) reaction rate parameters are as in Holloway and Harrison (1995),  
5 except for initial  $c_2 = 0.005$  (for  $t > 0$ ,  $c_2$  follows eqn (3)), with diffusivities selected to give a  
6 wavelength  $\lambda = 0.52$  (calculated from linear analysis), corresponding to 12 P2 primordia at radius  
7 1 (and  $\gamma = 1$ ). The feedforward constant  $n$  (eqn (3)) scales  $X_I$  to keep P2 in the active patterning  
8 region of the linear parameter space. NPA treatment is simulated by reduction of  $d_2$ , which shuts  
9 off active P2 patterning without strongly affecting wavelength (Holloway and Harrison, 1995).  
10 Linear analysis predicts this qualitative active-to-passive change for decreasing  $d_2$ ; numerical  
11 simulations were used to find this boundary value for the nonlinear system eqns (1, 2). Local  
12 growth is proportional to  $X_2$  (parameter  $c_g$ ), and is calculated once for every 50 iterations (of size  
13  $\Delta t$ ) of the reaction-diffusion solver. Simulations were run for 30,000 iterations.

14

## 15 RESULTS

### 16 *Whorl formation: P1 constraint of P2*

17 *Lack of constraint:* An essential feature of single whorl formation is that a relatively short  
18 wavelength spacing (the inter-cotyledon  $\lambda$  shown in Fig. 1) is constrained to a ring. In the  
19 absence of a radial (or latitudinal) constraint, a mechanism with such a short wavelength would  
20 generate pattern all over the domain. This is illustrated in Fig. 2A-C, in which active patterning  
21 is turned off in the P1 Brusselator (by setting diffusivities  $D_{X_I}$  and  $D_{Y_I}$  to zero), resulting in  
22 unpatterned, uniform  $X_I$  and  $Y_I$  concentrations (Fig. 2A). Active patterning in P2 is then spatially

1 unconstrained, and concentration peaks form all over the surface (Fig. 2B). If this P2 pattern  
2 catalyzes surface expansion, outgrowths occur over the whole domain (Fig. 2C): this is not  
3 observed in conifer cotyledon morphogenesis (or single whorl morphogenesis in general).

4       *Normal patterning and morphogenesis:* With P1 actively patterning and generating a  
5 relatively long wavelength annular pattern (Fig. 2D), feedforward (eqn (3)) constrains the short  
6 wavelength P2 to form in a whorl and not over the centre of the domain (Fig. 2E). Growth  
7 catalyzed by P2 generates the regularly-spaced whorl of primordia associated with normal  
8 cotyledon morphogenesis (Fig. 2F). Eqns (1) – (3) provide a framework for the dynamics needed  
9 for the morphogenesis of a single simultaneous whorl of primordia.

10

#### 11 *NPA effect on P2 patterning*

12 Cup-shaped morphogenesis (spontaneous or due to NPA treatment) is associated with loss of P2  
13 patterning (lack of distinct cotyledon outgrowth). With normal active P1 patterning (Fig. 2G),  
14 loss of active P2 patterning reverts to a passive steady-state reflecting the P1 annular pattern  
15 (Fig. 2H). The PAT-dependent shut-off of P2 self-organization is modelled via reduction of the  
16 decay parameter  $d_2$ , from 0.21 (normal) to 0.14 (NPA treated). Growth catalyzed by the resulting  
17 annular passive P2 pattern generates the circularly symmetric morphogenesis of cup-shaped  
18 embryos (Fig. 2I). Partial reduction of  $d_2$ , to 0.18, produces active P2 pattern, but at lower  
19 amplitude than normal. This corresponds to the decreased amplitude outgrowth but relatively  
20 unaffected P2 spacing observed experimentally under moderate NPA treatments (Holloway et  
21 al., 2016).

22

1 *Effect of geometry on morphogenesis*

2 Cotyledons in vivo tend to point upwards (Fig. 1C), and this upwards tendency is retained even  
3 in cup-shaped embryos lacking cotyledons (Fig. 1D). The radial location of the P1 ring on the  
4 dome could potentially affect this outgrowth angle, with higher latitude rings giving smaller  
5 angles between the outgrowth and the z-axis. The single-ring solution of the P1 eqns (1) on a  
6 hemispherical cap is the annular  $Y(0,3)$  surface spherical harmonic (Fig. 2D,G), which has a  
7 characteristic inset from the equator. Higher latitude rings could arise for higher-order harmonics  
8 (e.g.  $Y(0,7)$ ), but this would also produce multiple rings, which are not seen in normal conifer  
9 development.

10       Geometry could also contribute to upward growth. Flattening of a hemispherical  
11 geometry, as seen during conifer embryogenesis (Fig. 1A to 1B), decreases the angles between  
12 the z-axis and normal vectors on the surface. Therefore, P1 patterning on a flattened dome  
13 should direct more upwards growth than on a hemisphere. To test this geometric effect, we ran  
14 series of computations at different values of  $\gamma$ , the parameter specifying dome flatness (varying  
15 between 1 for a hemisphere and 0 for a flat disk; see Fig. 1E and Nagata et al., 2013). Figure 3  
16 compares morphogenesis from hemispherical initial shapes (Fig. 3A,B) to increasingly flat initial  
17 shapes (Fig. 3C,D to Fig. 3E,F). Decreasing  $\gamma$  decreases the outgrowth angle from the z-axis,  
18 producing closer fits to observations, both for cotyledon outgrowth and for NPA-induced cups.  
19 This indicates that establishment of the P1 pattern on geometries intermediate between a  
20 hemisphere ( $\gamma = 1$ ) and a flattened disk ( $\gamma = 0$ ), i.e. patterning after the dome stage (Fig. 1A),  
21 could contribute to the observed upwards growth.

22



1 *Linear relation between primordia number and diameter*

2 A characteristic wavelength,  $\lambda$ , for P2 spacing within the P1 ring implies a linear relationship  
3 between domain diameter,  $d$ , and the number of primordia,  $n_c$ :

$$4 \quad d = (\lambda/\pi)n_c + b \quad (4)$$

5 where  $b/2$  is the inset of the ring from the equator. This relation is seen experimentally (Harrison  
6 and von Aderkas, 2004; Holloway et al., 2016). For the P1/P2 RD simulations, Figure 4 shows  
7 this linear increase in radius with primordia number. The linear trend is observed at different  $\gamma$   
8 values, and with or without P2-catalyzed growth (Table 2;  $p < 0.05$  for all regressions).

9 The single ring of primordia is stable across the 2 to 11 cotyledons observed  
10 experimentally. This stability of whorl formation depends on P1 pattern stability with respect to  
11 radius increase. Spatial solutions of dynamic mechanisms such as eqns (1) depend on domain  
12 size, with a progression from lower to higher complexity patterns as size increases. At small  
13 radius, the domain size is too small for the annular Y(0,3) spherical harmonic: P1 forms a lower-  
14 order, pole-high Y(0,1) pattern in these cases. P2 can form  $n_c$  of 2 to 4 on these small-radius P1  
15 patterns (Table 2, yellow). This is consistent with previous results, in which we observed whorl  
16 patterns up to  $n_c = 6$  for a single Brusselator pattern-former on a Y(0,1) fixed pre-pattern  
17 (Holloway and Harrison, 2008). For larger radii, however, the Y(0,3) annular pattern generated  
18 by the dynamic P1 mechanism (as in Fig. 2 D,G) is critical for stabilizing P2 whorl formation (as  
19 compared to ‘spots all over’, e.g. Fig. 2B). The P1 annulus stabilizes whorls to the upper end of  
20 the experimentally observed range: up to  $n_c = 11$  is shown in Table 2 (green and blue);  $n_c = 12$ ,  
21 13, of which single cases were observed in Holloway et al. (2016), can also be generated. At  
22 higher radii than these, P1 begins to transition to a multiple ring Y(0,7) pattern, which would

1 correspond to radially-nested P2 whorls, which are not seen in normal development. Simulation  
2 of NPA treatment, decreasing  $d_2$  to shut off active P2 patterning, gave circularly symmetric  
3 morphologies for all radii in Fig. 4.

#### 4 5 *Variations in patterning*

6 Transitions between distinct patterns can be gradual for P1 and P2. In Table 2, for instance, the  
7 P1 transition between the Y(0,1) pole-high and Y(0,3) annular patterns occurs over a range of  
8 radii (green cells), with the Y(0,3) polar minima becoming more distinct as radius increases. For  
9 P2, radii between those shown in Table 2 can give mixed patterns, resulting in either splittings  
10 (e.g. an initial  $n_c = 4$  going to  $n_c = 5$ , Figure 5A) or fusions (long circumferentially-extended  
11 maxima which fail to resolve into distinct primordia, Fig. 5B). Splittings are seen  
12 experimentally, for example with an embryo showing 4 cotyledons on an initial measurement  
13 showing 5 cotyledons a week later. Fusions are also observed, where, for example, space for 2  
14 cotyledons is occupied by a single broad structure (Fig. 5C). The simulations indicate that such  
15 indeterminate  $n_c$  could be a natural consequence of the radial dependence of the P2 pattern, i.e.  
16 that these embryos are at a transitional size between radii with distinct integer  $n_c$ .

17 NPA treatment tends to abolish outgrowth of distinct cotyledons, but in rare cases  
18 vestigial ‘bumps’ can be observed along the rims of the cup-shaped embryos (Holloway et al.,  
19 2016). Since NPA treatment appears to reduce P2 amplitude without altering the P2 spacing  $\lambda$ ,  
20 these bumps may be due to a remaining very low amplitude P2 pattern during their development.  
21 Fig. 5D shows that in cases where P2 is not actively patterning, and has a steady state which will  
22 follow the P1 annular pattern, transient circumferential pattern can still be observed at early

1 stages, which could contribute to vestigial bumps prior to the pattern fully relaxing to the annular  
2 steady state.

3

4

## DISCUSSION

5 Cotyledon morphogenesis in conifers is complex, with positioning and outgrowth controlled in  
6 radial and circumferential directions on a flattening dome geometry. Fundamental questions in  
7 this process include: how are cotyledons constrained radially to form in a whorl; how are  
8 cotyledons evenly spaced circumferentially within that whorl; what is the role of auxin in  
9 cotyledon formation; and how do spatial patterning dynamics affect the morphology of the  
10 cotyledon crown in early embryos. We developed a dynamic model of pattern formation and  
11 growth in 3D to investigate these questions.

12 Induction of cup-shaped morphogenesis by NPA treatment suggests that an underlying  
13 PAT-independent patterning mechanism, P1, sets the radial position of the cotyledon whorl. This  
14 ring pattern is robust - even in NPA-treated embryos showing partial cotyledon growth or  
15 embryos with missing cotyledons (gaps), outgrowth occurs at a clear radius from the tip (Larsson  
16 et al., 2008; Holloway et al., 2016). The consistent formation of whorls in conifer cotyledon  
17 development depends on the stability of this single-ring pattern solution to changes in domain  
18 size, embryo geometry, and mechanism parameters (e.g. reaction rates, transport rates,  
19 potentially mechanical properties). The size stability of the whorl pattern is notable in the  
20 broader developmental context, given that within-species conifer embryo sizes are far more  
21 variable (std. dev./mean  $\approx 30\%$  for diameters; Holloway et al., 2016) than those in *Drosophila*  
22 (std. dev./mean  $\approx 8\%$  for lengths; Holloway et al., 2006), a model organism intensively studied

1 for such pattern scaling to variable size (e.g. Houchmandzadeh et al., 2002; He et al., 2015). On  
2 flattening dome geometries, the single-ring whorl pattern corresponds to the  $Y(0,3)$  spherical  
3 harmonic. We have shown that a reaction-diffusion P1 mechanism (the Brusselator) can stabilize  
4 single whorls across the range of 2 to 11 cotyledons observed experimentally. In particular,  
5  $Y(0,3)$  P1 solutions are critical in maintaining single whorls at larger diameters. Our simulations  
6 indicate that P1 ring stabilization is important for diameters associated with  $n_c > 4$ ; this is highly  
7 applicable to many common conifers, in which species averages are  $n_c = 5$  and above (Butts and  
8 Buchholz, 1940).

9         To constrain cotyledon formation to the ring, P1 needs a feedforward control on the  
10 short-wavelength P2 pattern. The formation of P1 and P2 patterns in-phase in the whorl is  
11 consistent with GM activator-inhibitor kinetics for P2, and not with Brusselator depletion  
12 kinetics. P1 is coupled to P2 via the  $X_1$  morphogen affecting the  $X_2$  self-reinforcement rate  
13 parameter  $c_2$ . Active pattern formation by the GM P2 mechanism produces even spacing between  
14 primordia within the P1 ring. This is the first computed confirmation that a hierarchical double-  
15 RD mechanism can generate single whorl morphogenesis on a dome, as first suggested by  
16 Harrison et al. (1981).

17         The loss of distinct cotyledon outgrowth with NPA treatment indicates a PAT effect on  
18 P2 pattern amplitude. This is modelled as an effect on active vs. passive patterning, with NPA  
19 treatment (decrease of PAT) decreasing parameter  $d_2$ . At low  $d_2$ , active circumferential  
20 patterning dies out and the resulting passive steady-state of P2 reflects the underlying P1 ring  
21 pattern (Fig. 2H). Turing analysis shows how  $d_2$  decrease causes this loss of self-organization;  
22 the decreased decay could also be associated with a pooling of unpatterned P2 morphogen in the  
23 P1 ring.

1 NPA-induced reversion of a distinct cotyledon pattern to a ring pattern supports the two-  
2 stage P1/P2 model over a one-stage RD model. For a single Brusselator, Nagata et al. (2013)  
3 found the stability conditions for different pattern harmonics on spherical caps. Transitions from  
4 cotyledon-like patterns,  $Y(n_c, 1)$ , to the annular  $Y(0,3)$  would require different specific variations  
5 in parameters for each  $n_c$ . In comparison, loss of active patterning in P2 can be effected over a  
6 range of values for any of the parameters in eqns (2), more consistent with a systemic NPA  
7 treatment reliably converting all potential  $n_c$  (or all diameters, given eqn (4)) to  $Y(0,3)$ .

8 P1 control constrains a potentially 2D P2 pattern (all over the surface) into a quasi-1D  
9 pattern in a ring. This produces the linear dependence between inter-cotyledon spacing  $\lambda$  and  
10 embryo diameter (eqn (4)) observed experimentally (Harrison and von Aderkas, 2004; Holloway  
11 et al., 2016). In such a ring arrangement, each increment of the whorl circumference by the inter-  
12 cotyledon spacing allows another cotyledon to fit in. Table 2 shows radii for each integer  $n_c$  in  
13 the experimentally observed range. Since radius can vary continuously, radii intermediate to  
14 those shown in Table 2 can produce mixed  $n_c$ : the cotyledon fusions or splittings observed  
15 experimentally could be due to the diameter- $n_c$  dependence contained in eqn (4).

16 Morphogenetically, P2-driven growth generates the evenly spaced primordia of normal  
17 cotyledon morphogenesis, as well as the circularly symmetric outgrowth of NPA-induced cup-  
18 shaped morphogenesis. The pattern formation is stable to this induced growth. Computations on  
19 different embryo geometries (dome flatness  $\gamma$ ) suggest that P1/P2 cotyledon positioning occurs  
20 during flattening, after the early dome stage: decreasing  $\gamma$  from 1 (hemispherical) decreases the  
21 angle between outgrowth and the z-axis, generating increasingly upward-pointing primordia  
22 which more closely match experimental observations.

1           Visualization of growth regulator patterning in conifer embryos is rudimentary compared  
2 to *Arabidopsis*; the model provides a quantitative framework for interpreting the data currently  
3 available and guiding new experiments. For instance, new experiments in auxin labelling could  
4 clarify whether auxin localizes to the P1 ring, indicating a localized PAT delivery of the P2  
5 ‘amplitude factor’ and perhaps some dependence of PAT on P1; or whether auxin is more  
6 ubiquitous at these stages, and loss of PAT would produce a more generic loss of the P2  
7 ‘amplitude factor’ across the embryo. While the molecular identity of the  $X_2$  growth catalyst is  
8 unknown at this point, the model indicates that it is patterned by activator-inhibitor kinetics, as  
9 found earlier in plants for trichome patterning (Digiuni et al., 2008) and in shoot apical  
10 meristems (Fujita et al., 2011).

11           The dynamic mechanism developed here for conifer cotyledons may apply more  
12 generally to single whorl formation in development, or to successive simultaneously-forming  
13 whorls (independent of earlier primordia position) such as vegetative growth in *Acetabularia*  
14 (Dumais and Harrison, 2000) or *Equisetum*. These phenomena are in contrast to phyllotactic  
15 whorls formed by successively initiated primordia (e.g. Douady and Couder, 1996; Kitazawa and  
16 Fujimoto, 2015), and also to the PAT self-organization model of floral whorls, in which earlier  
17 organs (sepals) affect the positioning of later organs (van Mourik et al., 2012). The stability of  
18 the P1/P2 system over a large size range is especially applicable to simultaneous whorls with  
19 large numbers of primordia. In these cases, the short spacing between primordia relative to  
20 domain size requires a radial constraint to form in a whorl, and not have patterning all over the  
21 available space. While current data supports RD patterning for both P1 and P2 in conifer  
22 cotyledon whorls, the current model establishes more general constraints for whorl formation  
23 which could be realized with other pattern forming mechanisms, such as PAT. In particular, the

1 current model establishes constraints on the linkage between the radial and circumferential  
2 patterning systems, the coupling to growth, and the stability of the patterns to embryo size  
3 variability and geometric changes during morphogenesis: these apply to any mechanism for  
4 regular spacing, RD or otherwise.

5 In this broader context, the current characterization of the two-stage process in conifer  
6 cotyledon development shows parallels with previous findings of multi-component mechanisms  
7 with separable effects (and dependences on PAT) in different dimensions. These include results  
8 in tomato and *Arabidopsis*, in which exogenous application of auxin could alter circumferential  
9 patterning on the shoot, but not within a critical radial distance of the meristem (Reinhardt et al.,  
10 2000); the PIN1 dependence of floral initiation compared to the partial PIN1 independence of  
11 leaf initiation (Guenot et al., 2012); the separable surface and inward PAT flows found in the  
12 shoot apex (Furutani et al., 2014); and the PAT-dependent lateral and PAT-independent medial  
13 components of gynoecial development (Larsson et al., 2014).

14 Conifer polycotyledony offers a unique system for studying developmental mechanisms  
15 for the positioning of organs. Development of a 3D finite element model of conifer cotyledon  
16 whorl formation has allowed us to study the dynamics involved in this complex morphogenetic  
17 process. This clarifies the role of the radial patterning (P1) and its stability over the size ranges  
18 found experimentally; the constraint of cotyledons to this ring; the spacing of cotyledons within  
19 the ring (P2 pattern); and the loss of P2 patterning with NPA treatment. This quantitative model  
20 for the dynamics of growth regulator patterning and consequent morphogenesis provides a  
21 synthesis of current data and can serve as a framework to guide future experiments into the  
22 molecules and mechanisms involved in conifer cotyledon development, with implications for  
23 whorl formation in general.

1

2

## ACKNOWLEDGEMENTS

3 We thank Carol Wenzel, Patrick von Aderkas, and two anonymous reviewers for comments on  
4 the manuscript; and BCIT and NSERC Canada for financial support.

5

6

## LITERATURE CITED

**Alnaes MS, Blechta J, Hake J, et al. 2015.** The FEniCS project version 1.5. *Archive of Numerical Software* **3**: 9-23.

**Bayer EM, Smith RS, Mandel T, et al. 2009.** Integration of transport-based models for phyllotaxis and midvein formation. *Genes and Development* **23**: 373 – 384.

**Butts D, Buchholz JT. 1940.** Cotyledon numbers in conifers. *Transactions of the Illinois Academy of Sciences* **33**: 58-62.

**de Reuille PB, Bohn-Courseau I, Ljung K, et al. 2006.** Computer simulations reveal properties of the cell-cell signalling network at the shoot apex in Arabidopsis. *Proceedings of the National Academy of Sciences of the USA* **103**: 1627-1632.

**Digiuni S, Schellmann S, Geier F, et al. 2008.** A competitive complex formation mechanism underlies trichome patterning in Arabidopsis leaves. *Molecular Systems Biology* **4**: Article 217.

**Douady S, Couder Y. 1996.** Phyllotaxis as a dynamical self organizing process Part III: the simulation of the transient regimes of ontogeny. *Journal of Theoretical Biology* **178**: 295 – 312.



- Draelants D, Broeckhove J, Beemster GTS, Vanroose W. 2013.** Numerical bifurcation analysis of the pattern formation in a cell based auxin transport model. *Journal of Mathematical Biology* **67**: 1279–1305
- Dumais J, Harrison LG. 2000.** Whorl morphogenesis in the dasycladalean algae: the pattern formation viewpoint. *Philosophical Transactions of the Royal Society of London* **B355**: 281-305.
- Farcot E, Yuan Y. 2013.** Homogeneous auxin steady states and spontaneous oscillations in flux-based auxin transport models. *SIAM Journal of Applied Dynamical Systems* **12**: 1330–1353.
- Feugier FG, Mochizuki A, Iwasa Y. 2005.** Self-organizing formation of vascular system of plant leaves: co-orientation between auxin flux and pump proteins. *Journal of Theoretical Biology* **236**: 366–375.
- Friml J. 2003.** Auxin transport—shaping the plant. *Current Opinion in Plant Biology* **6**: 7–12.
- Fujita H, Toyokura K, Okada K, Kawaguchi M. 2011.** Reaction-diffusion mechanism in shoot apical meristem of plants. *PLoS ONE* **6**: e18243.
- Furutani M, Nakano Y, Tasaka M. 2014.** MAB4-induced auxin sink generates local auxin gradients in *Arabidopsis* organ formation. *Proceedings of the National Academy of Sciences of the USA* **111**: 1198-1203.
- Gierer A, Meinhardt H. 1972.** A theory of biological pattern formation. *Kybernetik* **12**: 30-39.
- Guenot B, Bayer E, Kierzkowski D, et al. 2012.** PIN1-independent leaf initiation in *Arabidopsis*. *Plant Physiology* **159**: 1501-1510.

- Hakman I, Hallberg H, Palovaraa J. 2009.** The polar auxin transport inhibitor NPA impairs embryo morphology and increases expression of an auxin efflux facilitator protein PIN during *Picea abies* somatic embryo development. *Tree Physiology* **29**: 483-496.
- Harrison LG. 1993.** *Kinetic Theory of Living Pattern*. Cambridge University Press.
- Harrison LG. 2011.** *The Shaping of Life*. Cambridge University Press.
- Harrison LG, Snell J, Verdi R, Vogt DE, Zeiss GD, Green BR. 1981.** Hair morphogenesis in *Acetabularia mediterranea*: temperature-dependent spacing and models of morphogen waves. *Protoplasma* **106**: 211-221.
- Harrison LG, Graham KT, Lakowski BC. 1988.** Calcium localization during *Acetabularia* whorl formation: evidence supporting a two-stage hierarchical mechanism. *Development* **104**: 255-262.
- Harrison LG, Kolář M. 1988.** Coupling between reaction-diffusion prepattern and expressed morphogenesis, applied to desmids and dasyclads. *Journal of Theoretical Biology* **130**: 493-515.
- Harrison LG, Donaldson G, Lau W, et al. 1997.** CaEGTA uncompetitively inhibits calcium activation of whorl morphogenesis in *Acetabularia*. *Protoplasma* **196**: 190-196.
- Harrison LG, Wehner S, Holloway DM. 2001.** Complex morphogenesis of surfaces: theory and experiment on coupling of reaction-diffusion to growth. *Faraday Discussions* **120**: 277-294.
- Harrison LG, von Aderkas P. 2004.** Spatially quantitative control of the number of cotyledons in a clonal population of somatic embryos of hybrid larch *Larix x leptoeuropaea*. *Annals of Botany* **93**: 423-434.
- He F, Wei C, Wu H, Cheung D, Jiao R, Ma J. 2015.** Fundamental origins and limits for scaling a maternal morphogen gradient. *Nature Communications* **6**: 6679.

- Herschkowitz-Kaufman M. 1975.** Bifurcation analysis of nonlinear reaction-diffusion equations II. Steady-state solutions and comparison with numerical simulations. *Bulletin of Mathematical Biology* **37**: 589-636.
- Holloway DM, Harrison LG. 1995.** Order and localization in reaction-diffusion pattern. *Physica A* **222**: 210-233.
- Holloway DM, Harrison LG. 1999.** Algal morphogenesis: modelling interspecific variation in *Micrasterias* with reaction-diffusion patterned catalysis of cell surface growth. *Philosophical Transactions of the Royal Society of London* **B354**: 417-433.
- Holloway DM, Harrison LG, Kosman D, Vanario-Alonso CE, Spirov AV. 2006.** Analysis of pattern precision shows that *Drosophila* segmentation develops substantial independence from gradients of maternal gene products. *Developmental Dynamics* **235**: 2949 – 2960.
- Holloway DM, Harrison LG. 2008.** Pattern selection in plants: coupling chemical dynamics to surface growth in three dimensions. *Annals of Botany* **101**: 361-374.
- Holloway DM, Brook B, Kang J, Wong C, Wu M. 2016.** A quantitative study of cotyledon positioning in conifer development. *Botany* **94**: 1063-1074.
- Houchmandzadeh B, Wieschaus E, Leibler S. 2002.** Establishment of developmental precision and proportions in the early *Drosophila* embryo. *Nature* **415**: 798 - 802.
- Hunding A. 1987.** Bifurcations in Turing systems of the second kind may explain blastula cleavage plane orientation. *Journal of Mathematical Biology* **25**: 109-122.
- Jönsson H, Heisler MG, Reddy GV, et al. 2005.** Modeling the organization of the WUSCHEL expression domain in the shoot apical meristem. *Bioinformatics* **21**[Suppl.]: i232–i240.

- Jönsson H, Heisler MG, Shapiro BE, Mjolsness E, Meyerowitz EM. 2006.** An auxin-driven polarized transport model for phyllotaxis. *Proceedings of the National Academy of Sciences of the USA* **103**: 1633–1638.
- Kitazawa MS, Fujimoto K. 2015.** A dynamical phyllotaxis model to determine floral organ number. *PLoS Computational Biology* **11**: e1004145.
- Lacalli TC. 1981.** Dissipative structures and morphogenetic pattern in unicellular algae. *Philosophical Transactions of the Royal Society of London* **B294**: 547-588.
- Larsson E, Sitbon F, Ljung K, von Arnold S. 2008.** Inhibited polar auxin transport results in aberrant embryo development in Norway spruce. *New Phytologist* **177**: 356-366.
- Larsson E, Roberts CJ, Claes AR, Franks RG, Sundberg E. 2014.** Polar auxin transport is essential for medial versus lateral tissue specification and vascular-mediated valve outgrowth in *Arabidopsis gynoecia*. *Plant Physiology* **166**: 1998-2012.
- Logg A, Wells GN. 2010.** DOLFIN: automated finite element computing. *ACM Transactions on Mathematical Software* **37**: Article 20.
- Logg A, Wells GN, Hake J. 2012.** DOLFIN: a C++/Python finite element library. In *Automated Solution of Differential Equations by the Finite Element Method* (ed. A. Logg, K.-A. Mardal and G. N. Wells), 173-225. Springer, Lect. Notes in Comp. Sci. and Eng. **84**.
- Meinhardt H. 1982.** *Models of Biological Pattern Formation*. London: Academic Press.
- Mitchison GJ. 1981.** The polar transport of auxin and vein patterns in plants. *Philosophical Transactions of the Royal Society of London* **B295**: 461-471.

- Nagata W, Harrison LG, Wehner S. 2003.** Reaction-diffusion models of growing plant tips: bifurcations on hemispheres. *Bulletin of Mathematical Biology* **65**: 571-607.
- Nagata W, Zangeneh HRZ, Holloway DM. 2013.** Reaction-diffusion patterns in plant tip morphogenesis: bifurcations on spherical caps. *Bulletin of Mathematical Biology* **75**: 2346-2371.
- Nicolis G, Prigogine I. 1977.** *Self-organization in Nonequilibrium Systems*. New York: Wiley.
- Prigogine I, Lefever R. 1968.** Symmetry-breaking instabilities in dissipative systems. II. *Journal of Chemical Physics* **48**: 1695–1700.
- Raspopovic J, Marcon L, Russo L, Sharpe J. 2014.** Digit patterning is controlled by a Bmp-Sox9-Wnt Turing network modulated by morphogen gradients. *Science* **345**: 566-570.
- Reinhardt D, Mandel T, Kuhlemeier C. 2000.** Auxin regulates the initiation and radial position of plant lateral organs. *Plant Cell* **12**: 507-518.
- Rolland-Lagan A-G, Prusinkiewicz P. 2005.** Reviewing models of auxin canalization in the context of leaf vein pattern formation in *Arabidopsis*. *Plant Journal* **44**: 854-865.
- Rozada I, Ruuth S, Ward MJ. 2013.** The stability of localized spot patterns for the Brusselator on the sphere. *SIAM Journal of Applied Dynamical Systems* **13**: 564-627.
- Salazar-Ciudad I, Jernvall J, Newman SA. 2003.** Mechanisms of pattern formation in development and evolution. *Development* **130**: 2027–2037
- Sheth R, Marcon L, Bastida MF, et al. 2012.** Hox genes regulate digit patterning by controlling the wavelength of a Turing-type mechanism. *Science* **338**: 1476-1480.

- Sick S, Rinker S, Timmer J, Schlake T. 2006.** WNT and DKK determine hair follicle spacing through a reaction-diffusion mechanism. *Science* **314**: 1447-1450.
- Smith RS, Guyomarç'h S, Mandel T, Reinhardt D, Kuhlemeier C, Prusinkiewicz P. 2006.** A plausible model of phyllotaxis. *Proceedings of the National Academy of Sciences of the USA* **103**: 1301-1306.
- Turing AM. 1952.** The chemical basis of morphogenesis. *Philosophical Transactions of the Royal Society of London* **B237**: 37-72.
- van Mourik S, Kaufmann K, van Dijk ADJ, Angenent GC, Merks RMH, Molenaar J. 2012.** Simulation of organ patterning on the floral meristem using a polar auxin transport model. *PLoS ONE* **7**: e28762.
- von Aderkas P. 2002.** *In vitro* phenotypic variation in larch cotyledon number. *International Journal of Plant Sciences* **163**: 301-307.

## LEGENDS TO FIGURES

1  
2 FIG. 1. Cotyledon patterning in conifer development. (A) An early dome-shaped embryo. (B) At  
3 a later stage, embryos have flattened and cotyledons are just beginning to appear (red arrows).  
4 (C) Cotyledons subsequently grow out, in a whorled (ring) pattern, with a distinct inter-  
5 cotyledon spacing,  $\lambda$ . Scale bar is 200  $\mu\text{m}$ . (D) Cup-shaped embryos, with no distinct cotyledons,  
6 can occur spontaneously or be induced by NPA treatment (blocking polar auxin transport). This  
7 indicates two linked patterning events (E): the first (pattern P1, red) controlling the radius ( $r$   
8 coordinate) of the cotyledon ring (or its ‘latitude’ on the dome); the second (pattern P2, black  
9 spots) controlling the spacing,  $\lambda$ , between cotyledons in the ring (along  $\phi$ , the circumferential  
10 coordinate, or ‘longitude’ on the dome). P2 patterning is disrupted in cup-shaped embryos.  $\gamma$   
11 denotes the flatness of the embryo (as defined in Nagata et al., 2013:  $\gamma = 1$ , hemisphere;  $\gamma = 0$ ,  
12 flat disk). (A-C), (E) adapted from Holloway et al. (2016), (D) from Harrison and von Aderkas  
13 (2004), with permission. (A-D) Larch (*Larix*) embryos shown.

14  
15 FIG. 2. Two-stage model for conifer cotyledon morphogenesis. Top row, spatial pattern 1 (P1),  
16 colour-mapped for morphogen  $X_1$ ; middle row, spatial pattern 2 (P2), colour-mapped for  
17 morphogen  $X_2$ ; bottom row, 3D shape generated by  $X_2$ -catalyzed growth (colour-mapped for  $X_2$ ).  
18 The top two rows are hemispherical surfaces, the shapes on the bottom row grow from initial  
19 hemispheres. Red outlines are in the x-y plane; white arrow, z-axis. P1 and P2 patterns are  
20 generated by reaction-diffusion (RD) mechanisms (eqns (1) and (2), respectively);  $X_1$  and  $X_2$   
21 concentrations are shown colour-mapped from blue (lowest concentration) to red (highest  
22 concentration). The  $X_1$  concentration (top row) affects a production rate constant in P2 (eqn (3)),  
23 constraining where P2 forms (middle row). (A-C) When P1 is not actively patterned,  $X_1$  (A) and

1  $Y_I$  revert to uniform steady-state concentrations. This allows the short wavelength P2 pattern to  
2 form all over the domain (B), catalyzing a ‘spots-all-over’ bumpy morphogenesis (C), which is  
3 not seen in conifer embryogenesis. (D-F) When P1 is actively patterned,  $X_I$  forms a ring defining  
4 the radial position of the cotyledon whorl (D). This (by eqn (3)) constrains P2 to form only  
5 within the ring (E). Outgrowth of regularly spaced primordia corresponds to normal cotyledon  
6 morphogenesis (F). (G-I) If P2 is not actively patterning, it has a steady-state pattern (H) which  
7 follows that of P1 (G), and outgrowth is circularly symmetric (I), like NPA-treated cup-shaped  
8 morphogenesis.

9

10 FIG. 3. The effect of geometry on patterning and morphogenesis. Top row, normal  
11 morphogenesis (both P1 and P2 actively patterning); bottom row, NPA-treated cup  
12 morphogenesis (P1 actively patterning, P2 not actively patterning). Colour-map, red outline and  
13 white arrow as in Fig. 2. (A, B) Growth starting from hemispherical initial shapes,  $\gamma = 1.0$  (see  
14 Fig. 1E legend). (C-E) Progressively flattened domains: (C, D)  $\gamma = 0.8$ ; (E, F)  $\gamma = 0.6$ , shown to  
15 scale. The radius increase as  $\gamma$  decreases keeps the number of primordia (6, here) constant. As  
16 the domain flattens, the angle between the z-axis (pole) and the P1 ring decreases, directing the  
17 primordia upwards, more closely matching the observed morphogenesis (Fig. 1C). This  
18 corresponds to cotyledons being positioned during tip flattening, after the dome stage (Fig. 1A)  
19 of embryogenesis.

20

21 FIG. 4. Linear relation between number of primordia ( $n_c$ ) and radius, as predicted from eqn (4)  
22 and corresponding to the trend seen experimentally (Harrison and von Aderkas, 2004; Holloway



1 et al., 2016). Top-view shapes, colour-mapped for  $X_2$  concentration, shown to scale. The P1 ring  
2 stabilizes formation of a single whorl of primordia over the observed range of 2 to 11 cotyledons  
3 (Holloway et al, 2016).  $\gamma = 0.8$  with  $X_2$ -catalyzed growth shown, see Table 2 for the linear  
4 relation at other geometries.

5

6 FIG. 5. Variation in patterns. The model can generate some of the anomalous morphologies seen  
7 experimentally. (A) Concentration peak splitting, in this case from an earlier pattern of 4 peaks  
8 (left) to a later pattern of 5 peaks (right), corresponds to readjustments seen experimentally,  
9 where additional cotyledons are sometimes seen a week after the earliest count. (B) Peak fusions,  
10 where a ring with space for, in this case, between 5 and 6 peaks, has several peaks ‘smeared’  
11 together, corresponding to fused or extra-width cotyledons sometimes observed experimentally  
12 (C; from Harrison and von Aderkas, 2004, with permission; scale bar is 250  $\mu\text{m}$ ). Such cases of  
13 indistinct peak number tend to occur at transitional radii between distinct integer peak numbers  
14 (i.e. between the shapes shown in Fig. 4). (D) Transient pattern in early stages of an ‘NPA-  
15 treatment’ simulation (at later stages, this passive P2 pattern is distributed in a smooth ring).  
16 Such transient pattern could correspond to the ‘bumpy cup’ morphology sometimes observed in  
17 NPA-treated embryos, where the cup rim is not smooth (Holloway et al., 2016).

1

## TABLES

2 TABLE 1. *Model parameters*

Eqns (1)	Eqns (2)	Other
$a_1 = 0.01$	$a_2 = 0.0006$	$n = 0.00125$
$b_1 = 1.5$	$b_2 = 0.025$	$c_g = 0.001$
$c_1 = 1.8$	$c_2 = 0.005$ (initial)	$\Delta t = 0.01$
$d_1 = 0.07$	$d_2$ (normal) = 0.21; $d_2$ (NPA) = 0.14	number of vertices ( $\gamma=1$ ) $\sim 800$
	$e_2 = 0.27$	
$A_1 = 10.0$	$A_2 = 0.4$	
$B_1 = 1.0$	$B_2 = 0.4$	
$D_{X1} = 0.01$	$D_{X2} = 0.0004$	
$D_{Y1} = 0.1$	$D_{Y2} = 0.008$	

3

4 TABLE 2. *Initial radii at particular number of primordia  $n_c$ , for different tip flatness  $\gamma$ . Yellow –*  
5  *$Y(0,1)$  P1 pattern; green – faint  $Y(0,3)$  P1 pattern; blue – sharp  $Y(0,3)$  P1 pattern. No growth:*  
6 *fixed hemispherical cap geometry for all time  $\geq 0$ . Growth: same initial geometry, but with  $X_2$ -*  
7 *catalyzed surface growth for time  $> 0$ .*

$n_c$ :	2	3	4	5	6	7	8	9	10	11
<b><math>\gamma=1</math></b>										
growth	0.2	0.25	0.325	0.375	0.4	0.5	0.6	0.65	0.7	0.8
no growth	0.25	0.275	0.3	0.4	0.45	0.5	0.6	0.7	0.8	0.85
<b><math>\gamma=0.8</math></b>										
growth	0.3	0.35	0.4	0.45	0.55	0.6	0.65	0.8	0.85	0.95
no growth	0.3	0.35	0.4	0.45	0.55	0.6	0.7	0.79	0.8	0.95
<b><math>\gamma=0.6</math></b>										
growth	0.3	0.4	0.45	0.5	0.6	0.665	0.7	0.8	0.9	1.0
no growth	0.35	0.4	0.5	0.55	0.59	0.65	0.75	0.9	0.95	1.0

8









